

PATENT

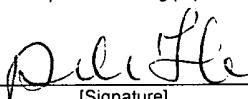
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: HEINEMANN-3

In re Application of:)
)
GERHARD HEINEMANN)
)
Filed: Simultaneously Herewith)
)
For: CONTROLLER AND METHOD FOR OPERATING A CONTROLLER)
)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail mailing label number: <u>EV 316665576 US</u>
Date of Deposit: <u>September 12, 2003</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450".
<u>ANTONELLA FUSILLO</u> [Name of person mailing paper or fee]
 _____ [Signature]

SIR:

In accordance with 37 C.F.R. 1.56, applicant wishes to call the attention of the Examiner to the following references A) to C). Applicant does not admit that any of the cited documents constitutes prior art against the pending application.

Country:	Patent or Appl. No:	Patentee or Applicant:	Issue or Filing Date:
A) Germany	DE 198 35 416 A1	Siemens AG	02-17-2000
B) Germany	DE 195 49 154 A1	Elektro-Mechanik	07-10-1997
C) PCT	WO 01/47099 A1	Danfoss Drives	06-28-2001

Copies of these references are submitted herewith along with form PTO-1449. The Examiner is requested to initial the attached form PTO-1449 and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

- This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under 1.53(d), so that no fee under 37 C.F.R. §1.97 is due.
- This Information Disclosure Statement is filed within three months of the date of entry of the national stage as set forth in 1.491 in an international application, so that no fee under 37 C.F.R. §1.97 is due.
- This Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, so that no fee under 37 C.F.R. §1.97 is due.
- This Information Disclosure Statement is filed before the mailing of a first Office Action after the filing of a request for continued examination under §1.114, so that no fee under 37 C.F.R. §1.97 is due.
- This Information Disclosure Statement is filed after the issuance of a first office but before issuance of a final action under §1.113, or a notice of allowance under §1.311.

[] This Information Disclosure Statement is submitted after the mailing of a final action or a notice of allowance, but before payment of the issue fee.

[] The undersigned submits the following statement requesting consideration of this statement:

The undersigned hereby states:

[] That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;

[] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

[] The fee of \$180.00 set forth in 1.17(p).

[] The Commissioner is hereby authorized to charge the fee as set forth in 1.17(p), and any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

[X] The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

In addition, applicant notes with respect to any information that is not in

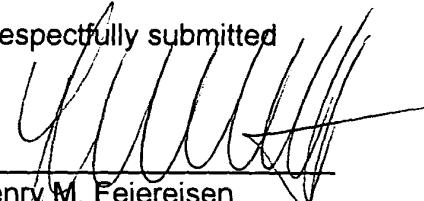
- Docket No.: HEINEMANN-3

English language as follows:

Reference A) describes a method for start-up of a facility with a control system having regulation and control modules that supply control values for regulating and controlling the facility. A central control unit is physically separate from the facility. In case of malfunction of a regulation and control module during transfer to the control values to the central control unit, the control values are replaced in such a way that the facility remains operational.

Reference B) describes a control unit for discrete electrical consumer load, e.g. electric drive motor or electrohydraulic drive unit. The control unit contains a load control unit (4), switching the consumer on or off and connected with an energy source (5) or network, according to a program stored in a control device (6). This control unit is provided with a microprocessor for changing the program if required. The control device can exchange information with an MSR unit (7), having measuring, control and regulation program, its sensor communications unit (8) and its diagnostic unit (9).

The above-identified application discloses and claims an invention patentable over this prior art. Entry of the references above set forth into the file of the above application is believed to be in order and is respectfully requested.

Respectfully submitted

By: _____
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Agent for Applicant
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Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

INFORMATION DISCLOSURE CITATION

Attorney's Docket No.	Applicant	Appl. No.
HEINEMANN-3	GERHARD HEINEMANN	
Filing Date	Group	Examiner

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date, if appropriate

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner: _____ **Date considered:** _____

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.